

Risk Management Plan Statement

RESPONSIBLE COMMITTEE: P&F

This is a policy/procedure document of Saltash
Town Council to be followed by both Council
Members and Employees.

Current Document Status			
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Version History					
Date	Version	Author/ editor	Committee/ date	Minute no.	Notes
04/2018	2018-19	Town Clerk	P&R 17.04.2018	20/18/19f	New
04/2019	2019-20	Town Clerk	FTC 04.04.2019	17/19/20b	Annual update
02/2021	2021-22	SE	FTC 03.06.2021	74/21/22n	Updated; readopted
02/2022	2022-23	Town Clerk	FTC 03.03.2022	451/21/22c	Readopted
05/2023	2023-24	Town Clerk	ATM 04.05.2023	28/23/24b(xi)	Readopted Updated by SB 25.05.2023
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03.2024	2024	SB	FTC 07.03.2024	367/23/24c	Recommendation from P&F. Approved

Document Retention Period
Until superseded

Saltash Town Council: Risk Management Plan Statement 2024/25

Area	Risk	Impact	Likelihood	Score	Controls	Action
Assets	Protection of physical assets	Medium 3	Low 2	6	Buildings insured. Value increased annually by CPI.	Current revaluation of all property, land and open spaces underway.
	Security of buildings, equipment etc. Security of Regalia	Medium 3	Low 2	6	Alarms on all main buildings. Legionella monitoring in place for all buildings and toilets. Regalia and valuables kept in insurance company approved safe.	Fire and Security Systems Installed linked to monitoring station. Service contract in place.
	Maintenance of buildings etc.	Medium 3	Low 2	6	Survey and planned programme of electrical and safety equipment	Completed September 2012.

					worked up in conjunction with building maintenance consultant. 5-year maintenance and budget plan in place. Linked to precept.	Updated 2023
	Failure to maintain fixed asset register.	Medium 3	Low 2	6	That all the necessary fixed asset purchases are recorded and monitored on the asset register. Appropriate document e.g., invoices are kept for audit purposes. Internal audit is carried of the fixed asset register. All staff are aware of the responsibilities of the fixed asset register.	Ongoing
Finance	Banking	Medium 3	Low 2	6	All funds and investment deposits with high street banks and investment company. Funds distributed with a minimum of 3 separate investment bodies	Investment Strategy in place. Town Council Policy 2015 updated to LGA 3 rd edition 2021.

					based on credit rating, interest rates and accessibility to funds.	
	Risk of consequential loss of income	Medium 3	Low 2	6	Insurance to cover loss of income and relocating office. Important documents backed-up off site.	Maintain insurance and IT support.
	Loss of cash through theft or dishonesty	Medium 3	Low 2	6	Division of responsibility in operation as per Governance and Accountability recommendations and audit. Fidelity insurance in place.	Ongoing monitoring of work updated practices and audit trail.
	Financial controls and records	Medium 3	Low 2	6	Monthly bank reconciliation and quarterly VAT report prepared by FO and checked by Chairman of P & F and Town Clerk and reported to	Ongoing monitoring.

					Full Council. Two signatories for payments. Internal and external audit.	
	Failure to meet deadline for submission of AGAR (Annual Return)	Medium 3	Low 2	6	The external return is programmed in and to ensure that Full Council meeting is held for signing off the annual return in sufficient time for submission deadline.	Ongoing
	Comply with Customs and Excise Regulations	Medium 3	Low 2	6	Use help line when necessary. VAT payments and claims calculated by FO and checked by Town Clerk & Chairman of P&F. Internal and external auditor to provide double check.	VAT consultant engaged. to ensure compliance with partial exemption regulations and annual report.
	Sound budgeting to support	Medium 3	Low 2	6	Committees and Full Council receive detailed budgets in the late autumn. Precept derived directly	Ongoing.

	annual precept				from this. Expenditure against budget reported to committees at each meeting.	
	Failure to calculate/ precept on time	Medium 3	Low 2	6	Timetable agenda item for councillors providing sufficient time for additional meetings if required.	Ongoing
	Failure to respond to electors wishing to exercise right of inspection	Low 2	Low 2	4	Ensure appropriate public rights dates are obtained from the external auditor. They are displayed on the website & notice boards as appropriate. Details are advertised on how to obtain copies and inspect the annual accounts are published	Ongoing
	Complying with borrowing restrictions	Low 2	Low 2	4	All borrowing conducted via CALC to Public Works Loan Board.	Ongoing.

Liability	Risk to third party, property or individuals	Medium 3	Low 2	6	Insurance in place. Property and land checked regularly. Trees inspection in place. Risk assessments of individual events such as Christmas lights and other events carried out as necessary.	Ongoing.
	Risks to staff & councillors	Medium 3	Low 2	6	Constant awareness of obstructions e.g., trailing wires, step ladders are used and provided where necessary, ensure electrical safety checks are carried out on all electronic equipment and using shredders and other equipment with safety precautions. Robust Risk Assessments in place, reviewed and adhered to.	Ongoing
	Legal liability as consequence	Medium 3	Low 2	6	Insurance in place. Regular safety inspections and checks conducted with records kept.	Ongoing.

	of asset ownership.					
Employer Liability	Comply with Employment Law	Medium 3	Low 2	6	HR consultants in place. Membership of various bodies including CALC regular checks for updates. Town Clerk is member of SLCC. Personnel Committee in place.	Ongoing.
	Comply with Inland Revenue requirements	Medium 3	Low 2	6	Regular advice from HMRC, Xero and Sage Payroll. Internal and external auditors carry out annual checks. Staff training in place.	Ongoing.
	Safety of Staff and visitors	Medium 3	Low 2	6	Health and Safety consultants retained as of 2012. Regular risk assessment checks of all buildings, premises and open spaces by Service Delivery Manager. Annual risk assessment audit by HR Consultant.	Ongoing.

	VDU/ Workstation usage by Staff	Low 2	Low 2	4	Health and safety checks are carried out to check workstation posture, regular eye tests, correct chairs purchased, DSE training to avoid RSI injuries, back injury, eye strain.	Ongoing
	Violence and Aggression	Medium 3	Low 2	6	All office and library staff are given training on dealing with anger, violence and aggression.	Ongoing
	Lone Working (Office)	Low 2	Low 2	4	That appropriate security measures are put in place. Ongoing communication with the lone worker is maintained to ensure they are monitored for their safety.	Ongoing
Legal Liability	Ensuring activities are within legal powers	Medium 3	Low 2	6	Town Clerk to clarify legal position on any new proposal. Legal advice to be sought where necessary. Power of Competence taken up.	Ongoing.
	Proper and timely	Medium	Low		Full Council meets once a month and always receives and approves	Ongoing.

	reporting via the Minutes	3	2	6	minutes of meetings held in interim. Minutes made available to press and public at the Guildhall and via the web site.	
	Inadequate awareness/ failure to comply of relevant legislation	Medium 3	Low 2	6	Maintain membership to CALC/ NALC on Annual basis. Town Clerk or in their absence the nominated officer as per the line management staff structure to attend committee meetings. SLCC and any other relevant external training is maintained. Liaise with internal and external auditors.	Ongoing
	Non – compliance	Medium 3	Low 2	6	All staff and councillors are made aware of the latest legislation around GDPR/ Data protection.	Ongoing

	with data protection				<p>Appropriate training is undertaken as necessary for the relevant staff and councillors.</p> <p>A data protection officer is nominated and monitors the activity of the Town Council.</p>	
	Proper document control	Medium 3	Low 2	6	Copies kept in the office and backed up off site. Original leases stored in safe.	Ongoing.
Councillor propriety	Registers of Interests and gifts and hospitality in place	Medium 3	Low 2	6	Register of interest completed and anti-bribery policy statement and anti-fraud and corruption strategy in place. Code of Conduct adopted.	Ongoing.
Direct Acquisition &	Financial cost and reputational risk linked to	Medium 3	Low 2	6	Acquisition and acceptance of assets or services based on community need and cost benefit analysis and budgeting via working	Ongoing.

Devolution receipt of Assets and Services	lack of service delivery standard				party scrutiny though to Full Council decision.	
General Reserve	Insufficient funds to match any unforeseen events or general emergencies	Medium 3	Low 2	6	Assessed annually at precept and budget setting and supported by ear marked funds. To ensure a sufficient contingency is in place to mitigate the risk of unforeseen events. This policy to be reviewed each year in conjunction with the rest of the reserves. This is reviewed on annual basis when setting the following year budgets.	Ongoing.
Public Consultation	Lack of public consultation by the Town Council	Medium 3	Low 2	6	Ensure meetings publicised on notice boards & website. Use of Annual parish meetings.	Ongoing

					<p>Place articles in newsletters</p> <p>Include public participation on all Town Council meeting agendas.</p> <p>Ensuring seating available at meetings for the public.</p> <p>Provide advice for members of the public attending.</p> <p>Publish agenda and minutes on website/ notice boards.</p> <p>Hold monthly Meet Your Councillor sessions.</p>	
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